#### Where we are in the Course

Concerns how to transfer messages over connected *links*.

- Logic Link Control (LLC)
  - error and flow control, interfaces for high layers
- Media Access Control (MAC)
  - framing and access control

Application	
Transport	
Network	
Link	
Physical	





#### Where we are in the Course

Concerns how to transfer messages over connected *links*.

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	Application	
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Concerns how to transfer messages across connected *networks*.

- Router architecture
- Network service models
- IP: Internet Protocol

. . .

• Routing algorithms and protocols





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# Roadmap

- Network layer Overview
  - network-layer approach
  - router architecture
  - network service models
- IP: Internet Protocol
- Routing





#### Why do we need a Network layer?

• We can already build networks with links and switches and send frames between hosts based on spanning tree ...







#### Why do we need a Network layer?

• We can already build networks with links and switches and send frames between hosts based on spanning tree ...







#### **Shortcomings of Switches**

- **1.** Don't scale to large networks
  - Blow up of routing table, broadcast



Need 4 rounds for the spanning tree to converge in this 6-node network ...

What if there're MILLIONS of nodes ?







#### **Shortcomings of Switches (2)**

- 2. Don't work across more than one link layer technology
  - Hosts on Ethernet + 3G + 802.11 ...







#### Shortcomings of Switches (3)

- 3. Don't give much traffic control
  - Want to plan routes / bandwidth







#### Network Layer Approach

- Scaling:
  - Hierarchy, in the form of prefixes
- Heterogeneity:
  - IP for internetworking
- Bandwidth Control:
  - Lowest-cost routing
  - Later QOS (Quality of Service)





# Roadmap

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### Router architecture overview

two key router functions:

- running routing protocols (RIP, OSPF, BGP)
- *forwarding* datagrams from incoming to outgoing link



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#### Routing vs. Forwarding

- <u>Routing</u> is the process of deciding in which direction to send traffic
  - Network wide (global) and expensive



### analogy:

 routing: process of planning trip from source to dest





#### Routing vs. Forwarding

- <u>Routing</u> is the process of deciding in which direction to send traffic
  - Network wide (global) and expensive



- <u>Forwarding</u> is the process of sending a packet on its way
  - Node process (local) and fast



### analogy:

- *routing:* process of planning trip from source to dest
- *forwarding:* process of getting through single interchange





#### Interplay between routing and forwarding







### Router architecture overview

two key router functions:

- running routing protocols (RIP, OSPF, BGP)
- *forwarding* datagrams from incoming to outgoing link



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## **Output port functions**



- *buffering* required when packets arrive from fabric faster than the transmission rate
- *scheduling policy* chooses among queued datagrams for transmission





# Network-Layer Service Models

• What kind of service does the Network layer provide to the Transport

layer?

- Bottom-up view: interconnecting different networks
- Top-down view: service provider







#### **Two Network Service Models**

- Datagrams, or *connectionless* service
  - Like postal letters
  - (This one is IP)



- Virtual circuits, or *connectionoriented* service
  - Like a telephone call



## Who should maintain connection state? The network or the end system?





#### Virtual Circuit Model

- Three phases:
  - 1. Connection establishment, circuit is set up
  - Path is chosen, circuit information stored in routers
  - 2. Data transfer, circuit is used
  - Packets are forwarded along the path
  - 3. Connection teardown, circuit is deleted
  - Circuit information is removed from routers
- Just like a telephone circuit, but virtual in the sense that no bandwidth need be reserved; statistical sharing of links





#### Virtual circuit forwarding table

- Packets only contain a short label to identify the circuit
  - Labels don't have any global meaning, only unique for a link

forwarding table in northwest router:



ncoming interface	Incoming VC #	Outgoing interface	Outgoing VC #
1	12 63	3	22 18
3	7	2	17
1	97	3	87

VC routers maintain connection state information!





## Virtual circuit signaling

- used to setup, maintain teardown VC
- used in ATM, frame-relay, X.25
- not used in today's Internet





## Datagram networks (e.g., Internet)

- no call setup at network layer
- routers: no state about end-to-end connections
  - no network-level concept of "connection"
- packets forwarded using destination host address





#### Datagram or VC network: why?

#### Datagram (Internet)

- data exchange among computers
  - "elastic" service, no strict timing requirement
- many link types
  - different characteristics
  - uniform service difficult
- "smart" end systems
  - can adapt, perform control, error recovery
  - simple inside network, complexity at "edge"

#### VC (ATM)

- evolved from telephony
- human conversation:
  - strict timing, reliability requirements
  - need for guaranteed service
- "dumb" end systems
  - telephones
  - complexity inside network



#### **Datagrams vs Virtual Circuits**

• Complementary strengths

Issue Datagrams		Virtual Circuits
Setup phase	Not needed	Required
Router state	Per destination	Per connection
Addresses	Packet carries full address	Packet carries short label
Routing	Per packet	Per circuit
Failures	Easier to mask	Difficult to mask
Quality of service	Difficult to add	Easier to add





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  - IPv6
- Routing





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#### Topic

- How do we connect different networks together?
  - This is called *internetworking*
  - We'll look at how IP does it







#### How Networks May Differ

- Basically, in a lot of ways:
  - Service model (datagrams, VCs)
  - Addressing (what kind)
  - QOS (priorities, no priorities)
  - Packet sizes
  - Security (whether encrypted)
- Internetworking hides the differences with a common protocol. (Uh oh.)





#### **Connecting Datagram and VC networks**

- An example to show that it's not so easy
  - Need to map destination address to a VC and vice-versa
  - A bit of a "road bump", e.g., might have to set up a VC







#### Internetworking – Cerf and Kahn

- Pioneered by Cerf and Kahn, the "fathers of the Internet"
  - In 1974, later led to TCP/IP
- Tackled the problems of interconnecting networks
  - Instead of mandating a single network technology



Bob Kahn



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#### **Internet Reference Model**

- IP is the "narrow waist" of the Internet
  - Supports many different links below and apps above







#### IP as a Lowest Common Denominator

- Suppose only some networks support QOS or security etc.
  - Difficult for internetwork to support
- Pushes IP to be a "lowest common denominator" protocol
  - Asks little of lower-layer networks
  - Gives little as a higher layer service





#### **IPv4 Datagram Format**

- Various fields to meet straightforward needs
  - Version, Header (IHL) and Total length, Protocol, and Header Checksum

◄ 32 Bits►			
Version	IHL	Differentiated Services	Total length
	dentification		D M F F F F Fragment offset
Time	to live	Protocol	Header checksum
Source address			
Destination address			
C Options (0 or more words)			
Payload (e.g., TCP segment)			





#### IPv4 Datagram Format (2)

- Network layer of the Internet, uses datagrams
  - Provides a layer of addressing above link addresses (next)

Version	IHL	Differentiated Services		Total length
Identification D M F F F Fragment o		Fragment offset		
Time	to live	Protocol	Header checksum	
Source address				
Destination address				
C Options (0 or more words)				
Payload (e.g., TCP segment)				





#### IPv4 Datagram Format (3)

- Some fields to handle packet size differences (later)
  - Identification, Fragment offset, Fragment control bits

• 32 Bits•			
Version	IHL	Differentiated Services	Total length
	dentification		D M F F F F Fragment offset
Time	to live	Protocol	Header checksum
	Source address		
Destination address			
C Options (0 or more words)			
		Payload (e.g.,	TCP segment)




### IPv4 Datagram Format (4)

- Other fields to meet other needs (later, later)
  - Differentiated Services, Time to live (TTL)







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### Topic

- How do routers forward packets?
  - We'll look at how IP does it
  - (We'll cover routing later)







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#### **IP** Addresses

- IPv4 uses 32-bit addresses
  - Later we'll see IPv6, which uses 128-bit addresses
- Written in "dotted quad" notation
  - Four 8-bit numbers separated by dots







#### **IP Address Classes - Historical**

- Originally, IP addresses came in fixed size blocks with the class/size encoded in the high-order bits
  - They still do, but the classes are now ignored







### Public / Private IP Addresses

- Public IP addresses, e.g., 18.31.0.1
  - Valid destination on the global Internet
  - Must be allocated to you before use
  - Mostly exhausted ... time for IPv6
- Private IP addresses
  - Can be used freely within private networks (home, small company)
  - 10.0.0/8, 172.16.0.0/12, 192.168.0.0/16
  - Need public IP address(es) and NAT to connect to global Internet





#### **IP Prefixes - Modern**

- Addresses are allocated in blocks called prefixes
  - Addresses in an L-bit prefix have the same top L bits
  - There are 2<sup>32-L</sup> addresses aligned on 2<sup>32-L</sup> boundary



#### 





### **IP Prefixes (2)**

- Written in "IP address/length" notation
  - Address is lowest address in the prefix, length is prefix bits
  - E.g., 128.13.0.0/16 is 128.13.0.0 to 128.13.255.255
  - So a /24 ("slash 24") is 256 addresses, and a /32 is one address

### 0001001000011111000000000 ××××××× ↔

 $\leftrightarrow 128.13.0.0/16$ 





### IP Prefixes (3)

#### • <u>More specific prefix</u>

- Has longer prefix, hence a smaller number of IP addresses
- <u>Less specific prefix</u>
  - Has shorter prefix, hence a larger number of IP addresses







### IP Prefixes (3)

#### • <u>More specific prefix</u>

- Has longer prefix, hence a smaller number of IP addresses
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  - Has shorter prefix, hence a larger number of IP addresses



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### **Allocating Public IP Addresses**

- Follows a hierarchical process
  - IANA delegates to regional bodies (RIRs)
  - RIRs delegate to companies in their region
  - Companies assign to their customers/computers (later, DHCP)







### **IP** Forwarding

- IP addresses on one network belong to the same prefix
- Node uses a table that lists the next hop for IP prefixes







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### **IP** Forwarding

- IP addresses on one network belong to the same prefix
- Node uses a table that lists the next hop for IP prefixes





- Prefixes in the table might overlap!
  - Combines hierarchy with flexibility
- Longest matching prefix forwarding rule:
  - For each packet, find the longest prefix that contains the destination address, i.e., the most specific entry
  - Forward the packet to the next hop router for that prefix





#### 192.24.63.255

Prefix	Next Hop	
192.24.0.0/18	D	
192.24.12.0/22	В	

192.24.6.0

192.24.14.32

192.24.54.0















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### Topic

- How do we connect networks with different maximum packet sizes?
  - Need to split up packets, or discover the largest size to use







### Packet Size Problem

- Different networks have different maximum packet sizes
  - Or MTU (Maximum Transmission Unit)
  - E.g., Ethernet 1.5K, WiFi 2.3K
- Prefer large packets for efficiency
  - But what size is too large?
  - Difficult because node does not know complete network path





### **Packet Size Solutions**

- Fragmentation (now)
  - Split up large packets in the network if they are too big to send
  - Classic method, dated
- Discovery (next)
  - Find the largest packet that fits on the network path and use it
  - IP uses today instead of fragmentation





### **IPv4 Fragmentation**

- Routers fragment packets that are too large to forward
- Receiving host reassembles to reduce load on routers







### **IPv4 Fragmentation Fields**

- Header fields used to handle packet size differences
  - Identification, Fragment offset, MF/DF control bits

◄ 32 Bits				
Version	IHL	Differentiated Services	Total length	
dentification		fication	D M   F F	
Time to live		Protocol	Header checksum	
Source address				
Destination address				
C Options (0 or more words)				
Payload (e.g., TCP segment)				





### **IPv4 Fragmentation Procedure**

- Routers split a packet that is too large:
  - Typically break into large pieces
  - Copy IP header to pieces
  - Adjust length on pieces
  - Set offset to indicate position
  - Set MF (More Fragments) on all pieces except last
- Receiving hosts reassembles the pieces:
  - Identification field links pieces together, MF tells receiver when it has all pieces





### **IPv4 Fragmentation (2)**







### **IPv4 Fragmentation (3)**







### IPv4 Fragmentation (4)

- It works!
  - Allows repeated fragmentation
- But fragmentation is undesirable
  - More work for routers, hosts
  - Tends to magnify loss rate
  - Security vulnerabilities too





### Path MTU Discovery

- Discover the MTU that will fit
  - So we can avoid fragmentation
  - The method in use today
- Host tests path with large packet
  - Routers provide feedback if too large; they tell host what size would have fit





### Path MTU Discovery (2)







### Path MTU Discovery (3)







### Path MTU Discovery (4)

- Process may seem involved
  - But usually quick to find right size
- Path MTU depends on the path and so can change over time
  - Search is ongoing
- Implemented with ICMP (next)
  - Set DF (Don't Fragment) bit in IP header to get feedback messages





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### Topic

- Filling in the gaps we need to make for IP forwarding work in practice
  - Getting IP addresses (DHCP) »
  - Mapping IP to link addresses (ARP) »







### **Getting IP Addresses**

- Problem:
  - A node wakes up for the first time ...
  - What is its IP address? What's the IP address of its router? Etc.
  - At least Ethernet address is on NIC






### Getting IP Addresses (2)

- 1. Manual configuration (old days)
  - Can't be factory set, depends on use
- 2. A protocol for automatically configuring addresses (DHCP)
  - Shifts burden from users to IT folk







#### DHCP

- DHCP (Dynamic Host Configuration Protocol), from 1993, widely used
- It leases IP address to nodes
- Provides other parameters too
  - Network prefix
  - Address of local router
  - DNS server, time server, etc.





#### **DHCP Protocol Stack**

- DHCP is a client-server application
  - Uses UDP ports 67, 68

DHCP
UDP
IP
Ethernet





#### **DHCP** Addressing

- Bootstrap issue:
  - How does node send a message to DHCP server before it is configured?
- Answer:
  - Node sends broadcast messages that delivered to all nodes on the network
  - <u>Broadcast address</u> is all 1s
  - IP (32 bit): 255.255.255.255
  - Ethernet (48 bit): ff:ff:ff:ff:ff:ff













### **DHCP** Messages (2)







C

### **DHCP** Messages (3)

- To renew an existing lease, an abbreviated sequence is used:
  - REQUEST, followed by ACK
- Protocol also supports replicated servers for reliability





#### Sending an IP Packet

- Problem:
  - A node needs Link layer addresses to send a frame over the local link
  - How does it get the destination link address from a destination IP address?







#### **ARP (Address Resolution Protocol)**

• Node uses to map a local IP address to its Link layer addresses







#### **ARP Protocol Stack**

- ARP sits right on top of link layer
  - No servers, just asks node with target IP to identify itself
  - Uses broadcast to reach all nodes







**ARP** Messages







#### ARP Messages (2)







#### **Discovery Protocols**

- Help nodes find each other
  - There are more of them!
    - E.g., zeroconf, Bonjour
- Often involve broadcast
  - Since nodes aren't introduced
  - Very handy glue





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# Public / Private IP Addresses

- Public IP addresses, e.g., 18.31.0.1
  - Valid destination on the global Internet
  - Must be allocated to you before use
- Private IP addresses
  - 10.0.0/8, 172.16.0.0/12, 192.168.0.0/16
  - Can be used freely within private networks (home, small company)



all datagrams leaving local network have same single source NAT IP address: 138.76.29.7,different source port numbers datagrams with source or destination in this network have 10.0.0.0/24 address for source, destination (as usual)

*implementation*: NAT router must:

- outgoing datagrams: replace (source IP address, port #) of every outgoing datagram to (NAT IP address, new port #)
   ... remote clients/servers will respond using (NAT IP address, new port #) as destination addr
- *remember (in NAT translation table)* every translation pair, i.e., (source IP address, port #) to
  (NAT IP address, new port #)
- incoming datagrams: replace (NAT IP address, new port #) in dest fields of every incoming datagram with corresponding (source IP address, port #) stored in NAT table





只有当内网主机访问外网时才会建立一个NAT translation pair =>外网无法<u>主动</u>访问内网主机

Upside: local network uses just one IP address as far as outside world is concerned:

- range of addresses not needed from ISP: just one IP address for all devices
- I6-bit port-number field = 60,000 simultaneous connections with a single LAN-side address!
- devices inside local net not explicitly addressable, visible by outside world (a security plus)

- Downside: NAT is controversial,
  - routers should only process up to layer 3
  - hinder peer-to-peer communication
  - address shortage should instead be solved by IPv6

# NAT traversal problem

- client wants to connect to server with address 10.0.0.1
  - server address 10.0.0.1 local to LAN (client can't use it as destination addr)
  - only one externally visible NATed address: 138.76.29.7
- solution I: statically configure NAT to forward incoming connection requests at given port to server
  - e.g., (123.76.29.7, port 2500)
    always forwarded to 10.0.0.1 port
    25000



## NAT traversal problem

- solution 2: relaying (used in Skype)
  - NATed client establishes connection to relay
  - external client connects to relay
  - relay bridges packets between two connections



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### Topic

- What happens when something goes wrong during forwarding?
  - Need to be able to find the problem



### Internet Control Message Protocol

- When router encounters an error while forwarding:
  - It sends an ICMP error report back to the IP source address
  - It discards the problematic packet; host needs to rectify



### **ICMP** Message Format

- Each ICMP message has a Type, Code, and Checksum
- Often carry the start of the offending packet as payload
- Each message is carried in an IP packet



## Example ICMP Messages

Name	Type / Code	Usage
Dest. Unreachable (Net or Host)	3 / 0 or 1	Lack of connectivity
Dest. Unreachable (Fragment)	3 / 4	Path MTU Discovery
Time Exceeded (Transit)	11/0	Traceroute
Echo Request or Reply	8 or 0 / 0	Ping

Testing, not a forwarding error: Host sends Echo Request, and destination responds with an Echo Reply

#### Goal

 for displaying the route (path) and measuring transit delays of packets across an Internet Protocol (IP) network.

#### How to do it?

- IP header contains TTL (Time to live) field
  - Decremented every router hop,
    with ICMP error if it hits zero
  - Protects against forwarding loops

	← 32 bits						
ver	head. Ien	type of service	length				
	l6-bit identifier			fragment offset			
time	time to live upper header checksum						
	32 bit source IP address						
	32 bit destination IP address						
	options (if any)						
	data (variable length, typically a TCP or UDP segment)						

- Source sends series of UDP segments to dest
  - first set has TTL = I
  - second set has TTL=2, etc.
  - unlikely port number
- ✤ When nth datagram arrives to nth router:
  - router discards datagrams
  - sends source ICMP msg (type 11, code 0)
  - ICMP msgincludes name of router & IP addr

 when ICMP messages arrives, source records RTTs (Round of Trip Times)

#### stopping criteria:

- UDP segment eventually arrives at destination host
- destination returns ICMP "port unreachable" message (type 3, code 3)
- source stops



Tracing route to www.yahoo-ht2.akadns.net [209.131.36.158] over a maximum of 30 hops:

1	2	ms	2	ms	2	ms	ads1-71-135-166-101.ds1.pltn13.pacbell.net [71.135.166.101]
2	15	ms	15	ms	15	ms	bras21-10.pltnca.sbcglobal.net [151.164.184.88]
3	14	ms	14	ms	14	ms	dist4-vlan60.pltn13.pbi.net [64.164.97.131]
4	13	ms	14	ms	13	ms	bb2-g3-0.pltnca.sbcglobal.net [151.164.43.56]
5	15	ms	14	ms	13	ms	bb1-p9-0.pltnca.sbcglobal.net [151.164.43.100]
6	15	ms	15	ms	15	ms	bb1-p3-0.crsfca.sbcglobal.net [151.164.190.85]
7	16	ms	16	ms	16	ms	ex1-p3-0.eqsjca.sbcglobal.net [151.164.41.101]
8	17	ms	16	ms	17	ms	asn10310-yahoo-10g.eqsjca.sbcglobal.net [151.164.248.58]
9	17	ms	17	ms	19	ms	g-1-0-0-p161.msr1.sp1.yahoo.com [216.115.107.63]
10	17	ms	18	ms	18	ms	te-8-1.bas-a2.sp1.yahoo.com [209.131.32.19]
11	25	ms	17	ms	19	ms	f1.www.vip.sp1.yahoo.com [209.131.36.158]

Trace complete.

Tracing route to www.yahoo-ht2.akadns.net [209.131.36.158] over a maximum of 30 hops:

5 ms 4 ms 5 ms 5 ms 5 ms	15 14 11 14 14 15	MS MS MS MS	15 14 13 13	M. MS MS	bra dis bb2 bb1
4 ms 5 ms 5 ms 5 ms	14 14 14 15	MS MS MS	14 13 13	rs MS MS	dis bb2 bb1
5 ms 5 ms 5 ms	14 15	ma MS MS	13 13	MS MS	bb2 bb1
5 ms 5 ms	14 15	MS Me	13	ms	bb1
5 ms	15	me	4 6		
			<b>L</b> J	MS	bb1
5 ms	16	ms	16	MS	ex1
7 ms 👘	16	ms	17	ms	asn
7 ms 👘	17	ms	19	ms	g-1
7 ms	18	MS	18	ms	te-
5 ms	17	ms	19	ms	f1.
	7 ms 7 ms 7 ms 7 ms 5 ms	7 ms 16 7 ms 16 7 ms 17 7 ms 18 5 ms 17	7 ms 16 ms 7 ms 16 ms 7 ms 17 ms 7 ms 18 ms 5 ms 17 ms	7 ms 16 ms 17 7 ms 16 ms 17 7 ms 17 ms 19 7 ms 18 ms 18 5 ms 17 ms 19	7 ms 16 ms 16 ms 7 ms 16 ms 17 ms 7 ms 17 ms 19 ms 7 ms 18 ms 18 ms 5 ms 17 ms 19 ms

ads1-71-135-166-101.ds1.pltn13.pacbell.net [71.135.166.101] bras21-10.pltnca.sbcglobal.net [151.164.184.88] dist4-vlan60.pltn13.pbi.net [64.164.97.131] bb2-g3-0.pltnca.sbcglobal.net [151.164.43.56] bb1-p9-0.pltnca.sbcglobal.net [151.164.43.100] bb1-p3-0.crsfca.sbcglobal.net [151.164.190.85] ex1-p3-0.eqsjca.sbcglobal.net [151.164.41.101] asn10310-yahoo-10g.eqsjca.sbcglobal.net [151.164.248.58] g-1-0-0-p161.msr1.sp1.yahoo.com [216.115.107.63] te-8-1.bas-a2.sp1.yahoo.com [209.131.32.19] f1.www.vip.sp1.yahoo.com [209.131.36.158]

Trace complete.

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# IPv6: motivation

- *initial motivation:* 32-bit address space soon to be completely allocated.
- additional motivation:
  - header format helps speed processing/forwarding
  - header changes to facilitate QoS
- IPv6 datagram format:
  - fixed-length 40 byte header
  - no fragmentation allowed

# IPv6 datagram format

priority: identify priority among datagrams in flow flow Label: identify datagrams in same "flow." (concept of "flow" not well defined). next header: identify upper layer protocol for data

ver	pri	flow label					
F	bayload	oad len next hdr hop limit					
source address (128 bits)							
destination address (128 bits)							
data							
• 32 bits							

# Other changes from IPv4

- checksum: removed entirely to reduce processing time at each hop
- options: allowed, but outside of header, indicated by "Next Header" field
- ICMPv6: new version of ICMP
  - additional message types, e.g. "Packet Too Big"
  - multicast group management functions
# Transition from IPv4 to IPv6

- not all routers can be upgraded simultaneously
  - no "flag days"
  - how will network operate with mixed IPv4 and IPv6 routers?
- tunneling: IPv6 datagram carried as payload in IPv4 datagram among IPv4 routers







# IPv6: adoption

- US National Institutes of Standards estimate [2013]:
  - $-\sim$ 3% of industry IP routers
  - ~II% of US gov't routers



Percentage of users accessing Google via IPv6

# Roadmap

- Network layer Overview
- Router architecture
- Network service models
- IP: Internet Protocol

### Routing

- Routing algorithms
  - link State (LS)
  - distance vector (DV)
  - hierarchical routing
- Routing protocols
  - RIP
  - OSPF
  - BGP
- Broadcast and multicast routing

### Graph abstraction

Y W X Y Y Z

graph: G = (N,E)

N = set of routers = { u, v, w, x, y, z }

 $E = set of links = \{ (u,v), (u,x), (v,x), (v,w), (x,w), (x,y), (w,y), (w,z), (y,z) \}$ 

aside: graph abstraction is useful in other network contexts, e.g., P2P, where N is set of peers and E is set of TCP connections

### Graph abstraction: costs



c(x,x') = cost of link (x,x') e.g., c(w,z) = 5

cost could always be 1, or inversely related to bandwidth, or inversely related to congestion

cost of path  $(x_1, x_2, x_3, ..., x_p) = c(x_1, x_2) + c(x_2, x_3) + ... + c(x_{p-1}, x_p)$ 

key question: what is the least-cost path between u and z ? routing algorithm: algorithm that finds that least cost path

### An Example of Least Cost Path

- ↔ Find the shortest path A → E
- All links are bidirectional, with equal costs in each direction
  - Can extend model to unequal costs if needed



### An Example of Least Cost Path

ABCE is a shortest path
dist(ABCE) = 4 + 2 + 1 = 7

This is less than:

- dist(ABE) = 8
- dist(ABFE) = 9
- dist(AE) = 10
- dist(ABCDE) = 10



### An Example of Least Cost Path

- Optimality property:
  - Subpaths of shortest paths are also shortest paths
- ABCE is a shortest path
  - $\rightarrow$ So are ABC, AB, BCE, BC, CE



## Sink Trees

- Sink tree for a destination is the union of all shortest paths towards the destination
  - Similarly source tree
- Find the sink tree for E



# Sink Trees

#### \*Implications:

- Only need to use destination to follow shortest paths
- Each node only need to send to the next hop

#### Forwarding table at a node

- Lists next hop for each destination
- Routing table may know more



# Goals of Routing Algorithms

We want several properties of any routing scheme:

Property	Meaning
Correctness	Finds paths that work
Efficient paths	Uses network bandwidth well
Fair paths	Doesn't starve any nodes
Fast convergence	Recovers quickly after changes
Scalability	Works well as network grows large

# Routing algorithm classification

#### Q: global or decentralized info?

#### global:

- all routers have complete topology, link cost info
- "link state" algorithms

#### decentralized:

- router knows physically-connected neighbors, link costs to neighbors
- iterative process of computation, exchange of info with neighbors
- "distance vector" algorithms

#### Q: static or dynamic?

#### static:

- routes change slowly over time dynamic:
- routes change more quickly
  - periodic update
  - in response to link cost changes

## Routing algorithm classification



# Roadmap

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### A Link-State Routing Algorithm

### Dijkstra's algorithm

- net topology, link costs known to all nodes
  - accomplished via "link state broadcast"
  - all nodes have same info
- computes least cost paths from one node ('source") to all other nodes
  - gives forwarding table for that node
- iterative: after k
   iterations, know least cost
   path to k dest.'s

#### notation:

- C(X,Y): link cost from node x to y; = ∞ if not direct neighbors
- D(v): current value of cost of path from source to dest. v
- p(v): predecessor node along path from source to v
- N': set of nodes whose least cost path definitively known

# Dijsktra's Algorithm

#### 1 Initialization:

- 2  $N' = \{u\}$
- 3 for all nodes v
- 4 if v adjacent to u
- 5 then D(v) = c(u,v)
- 6 else  $D(v) = \infty$

#### notation:

- C(X,Y): link cost from node x to y; = ∞ if not direct neighbors
- D(v): current value of cost of path from source to dest. v
- p(v): predecessor node along path from source to v
- N': set of nodes whose least cost path definitively known

#### 8 **Loop**

7

- 9 find w not in N' such that D(w) is a minimum
- 10 add w to N'
- 11 update D(v) for all v adjacent to w and not in N':
- 12 D(v) = min(D(v), D(w) + c(w,v))
- 13 /\* new cost to v is either old cost to v or known
- 14 shortest path cost to w plus cost from w to v \*/
- 15 until all nodes in N'

### Dijkstra's algorithm: example

		D(v)	D(w)	D(x)	D( <b>y</b> )	D(z)
Step	> N'	p(v)	p(w)	p(x)	p(y)	p(z)
0	u	7,u	<u>3,u</u>	5,u	$\infty$	$\infty$
1	uw	6,w		<u>5,u</u>	<b>)</b> 11,W	$\infty$
2	uwx	6,w			11,W	14,x
3	UWXV				10,0	14,x
4	uwxvy					(12,y)
5	uwxvyz					

#### notes:

- construct shortest path tree by tracing predecessor nodes
- ties can exist (can be broken arbitrarily)



### Dijkstra's algorithm: another example

Step	N'	D(v),p(v)	D(w),p(w)	D(x),p(x)	D(y),p(y)	D(z),p(z)
0	u	2,u	5,u	1,u	8	$\infty$
1	ux 🔶	2,u	4,x		2,x	$\infty$
2	uxy	<u>2,u</u>	З,у			4,y
3	uxyv 🗸		3,y			4,y
4	uxyvw 🔶					4,y
5						



### Dijkstra's algorithm: example (2)

resulting shortest-path tree from u:



#### resulting forwarding table in u:

destination	link
V	(u,v)
Х	(u,x)
У	(u,x)
W	(u,x)
Z	(u,x)

### Dijkstra's algorithm, discussion

#### algorithm complexity: n nodes

- each iteration: need to check all nodes, w, not in N
- n(n+1)/2 comparisons: O(n<sup>2</sup>)
- more efficient implementations possible: O(nlogn)

### oscillations possible:

e.g., support link cost equals amount of carried traffic:



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Bellman-Ford equation (dynamic programming)

Divide path xy into two parts {xv, vy}, where v is a neighbor of x

let

 $d_x(y) := cost of least-cost path from x to y$  then

```
d_{x}(y) = \min_{v} \{c(x,v) + d_{v}(y) \}
cost from neighbor v to destination y
cost to neighbor v
min taken over all neighbors v of x
```

# **Bellman-Ford** example



clearly,  $d_v(z) = 5$ ,  $d_x(z) = 3$ ,  $d_w(z) = 3$ B-F equation says:  $d_u(z) = \min \{ c(u,v) + d_v(z), c(u,x) + d_x(z), c(u,w) + d_w(z), c(u,w) + d_w(z) \}$  $= \min \{2 + 5, 1 + 3, 5 + 3\} = 4$ 

the neighbor achieving minimum is the next hop in shortest path, used in forwarding table

♣ D<sub>x</sub>(y) = estimate of least cost from x to y
 ■ x maintains distance vectors to all other nodes
 D<sub>x</sub> = [D<sub>x</sub>(y): y ∈ N]

node x:

- knows cost to each neighbor v: c(x,v)
- maintains its neighbors' distance vectors. For each neighbor v, x receives
   D<sub>v</sub> = [D<sub>v</sub>(y): y ∈ N]

### key idea:

- from time-to-time, each node sends its own distance vector estimate to neighbors
- when x receives new DV estimate from neighbor, it updates its own DV using B-F equation:

 $D_x(y) \leftarrow min_v \{c(x,v) + D_v(y)\}$  for each node  $y \in N$ 

\* under minor, natural conditions, the estimate  $D_x(y)$ converge to the actual least cost  $d_x(y)$ 

#### iterative, asynchronous:

each local iteration caused by:

- local link cost change
- DV update message from neighbor

#### distributed:

- each node notifies neighbors *only* when its DV changes
  - neighbors then notify their neighbors if necessary

each node:







Network Layer 4-26

#### link cost changes:

- node detects local link cost change
- updates routing info, recalculates distance vector



if DV changes, notify neighbors

"good<br/>news $t_0: y$  detects link-cost change, updates its DV, informs its<br/>neighbors.travels<br/>fast" $t_1: z$  receives update from y, updates its table, computes new<br/>least cost to x, sends its neighbors its DV.

 $t_2$ : y receives z' s update, updates its distance table. y' s least costs do *not* change, so y does *not* send a message to z.

### link cost changes:

- node detects local link cost change
- bad news travels slow "count to infinity"
   problem!



-	<b>†</b> 0	+1	
z s	ets D×(z)=50	z sets y as the next hop to x	
y s	ets D×(y)=4	z sets D×(z)=5,	
		y says D×(y)=4	

 $\rightarrow$  time

#### link cost changes:

- node detects local link cost change
- bad news travels slow "count to infinity"
   problem!



y s z s	s <mark>ets D×(y)=4</mark> ets D×(z)=50	y says Dx(y)=4 z sets Dx(z)=5, z sets y as the next hop to x	c(x,y)->60 y updates D y sets z as z updates D	)×(y)=min{c(x,y)+D <sub>Y</sub> (y), c(y, the next hop to x )×(z)=6 because y is the ne	z)+D×(z)}=5, ext hop to x
-	<del>†</del> 0	†1	†2		

#### link cost changes:

- node detects local link cost change
- bad news travels slow "count to infinity"
   problem!



#### c(x,y)->60 y updates $D_{x}(y)=5$ , y says $D_x(y)=4$ y sets z as the z says $D_x(z)=6$ y sets Dx(y)=4 z sets $D_x(z)=5$ , next hop to x y update $D_x(y)=7$ because z z sets y as the z sets $D_x(z)=50$ z updates $D_x(z)=6$ is the next hop to x ... next hop to x > time **†2 †3 †1 t**0

#### poisoned reverse:

- If C routes through B to get to A :
  - C tells B its (C's) distance to A is infinite (so B won't route to A via C)
- will this completely solve count to infinity problem?

### Comparison of LS and DV algorithms

#### message complexity

- LS: with n nodes, E links, O(nE) msgs sent
- DV: exchange between neighbors only
  - convergence time varies

### speed of convergence

- LS: O(n<sup>2</sup>) algorithm requires O(nE) msgs
  - may have oscillations
- **DV:** convergence time varies
  - may be routing loops
  - count-to-infinity problem

*robustness:* what happens if router malfunctions?

LS:

- node can advertise incorrect link cost
- each node computes only its own table

#### DV:

- DV node can advertise incorrect path cost
- each node's table used by others
  - error propagate thru network

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Hierarchical routing

our routing study thus far - idealization
all routers identical
network "flat"
... not true in practice

# scale: with 600 million destinations:

- can't store all dest's in routing tables!
- routing table exchange would swamp links!
- table lookup will be time consuming

#### administrative autonomy

- internet = network of networks
- each network admin may want to control routing in its own network

## Hierarchical routing

- aggregate routers into regions, "autonomous systems" (AS)
- routers in same AS run same routing protocol
  - "intra-AS" routing protocol
  - routers in different AS can run different intra-AS routing protocol

#### gateway router:

- \* at "edge" of its own AS
- has link to router in another AS

### Interconnected ASes



- forwarding table configured by both intraand inter-AS routing algorithm
  - <u>internal dests</u> set by intra-AS routing algorithm
  - <u>external dests</u> set by inter-AS & intra-AS routing algorithm

### Inter-AS tasks

- suppose router in ASI receives datagram destined outside of ASI:
  - router should forward packet to gateway router, but which one?

#### ASI must:

- learn which dests are reachable through AS2, which through AS3
- propagate this reachability info to all routers in ASI

#### job of inter-AS routing!



#### Example: setting forwarding table in router Id

- suppose ASI learns (via inter-AS protocol) that subnet x reachable via AS3 (gateway Ic), but not via AS2
  - inter-AS protocol propagates reachability info to all internal routers
- router Id determines from intra-AS routing info that its interface I is on the least cost path to Ic
  - installs forwarding table entry (x,l)



#### Example: choosing among multiple ASes

- now suppose ASI learns from inter-AS protocol that subnet
   x is reachable from AS3 and from AS2.
- to configure forwarding table, router 1d must determine towards which gateway it should forward packets for dest x
  - this is also job of inter-AS routing protocol!



#### Example: choosing among multiple ASes

- now suppose ASI learns from inter-AS protocol that subnet
   x is reachable from AS3 and from AS2.
- to configure forwarding table, router 1d must determine towards which gateway it should forward packets for dest x
  - this is also job of inter-AS routing protocol!
- hot potato routing: send packet towards closest of two routers.



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### Intra-AS Routing

- also known as interior gateway protocols (IGP)
- most common intra-AS routing protocols:
  - RIP: Routing Information Protocol
  - OSPF: Open Shortest Path First
  - IGRP: Interior Gateway Routing Protocol (Cisco proprietary)

### **RIP ( Routing Information Protocol)**

- included in BSD-UNIX distribution in 1982
- distance vector algorithm
  - distance metric: # hops (max = 15 hops), each link has cost 1
  - DVs exchanged with neighbors every 30 sec in response message (aka advertisement)



from router A to destination subnets:

<u>subnet</u>	hops
u	1
V	2
W	2
Х	3
У	3
Z	2

### RIP: link failure, recovery

if no advertisement heard after 180 sec --> neighbor/link declared dead

- routes via neighbor invalidated
- new advertisements sent to neighbors
- neighbors in turn send out new advertisements (if tables changed)
- Ink failure info quickly propagates to entire net
- poison reverse used to prevent ping-pong loops (infinite distance = 16 hops)

### RIP table processing

- RIP routing tables managed by application-level process called route-d (daemon)
- advertisements sent in UDP packets, periodically repeated



### OSPF (Open Shortest Path First)

- \* "open": publicly available
- uses link state algorithm
  - LS packet dissemination
  - topology map at each node
  - route computation using Dijkstra's algorithm
- OSPF advertisement carries one entry per neighbor
- advertisements flooded to entire AS
  - carried in OSPF messages directly over IP (rather than TCP or UDP)

#### OSPF "advanced" features (not in RIP)

- security: all OSPF messages authenticated (to prevent malicious intrusion)
- multiple same-cost paths allowed (only one path in RIP)
- for each link, multiple cost metrics for different ToS (Type of Service) (e.g., satellite link cost set "low" for best effort ToS; high for real time ToS)
- integrated uni- and multicast support:
  - Multicast OSPF (MOSPF) uses same topology data base as OSPF
- hierarchical OSPF in large domains.



Network Layer 4-47

## **Hierarchical OSPF**

- \* *two-level hierarchy:* local area, backbone.
  - Ink-state advertisements only in area
  - each nodes has detailed area topology; only know direction (shortest path) to nets in other areas.
- \* area border routers: "summarize" distances to nets in own area, advertise to other Area Border routers.
- backbone routers: run OSPF routing limited to backbone.
- Soundary routers: connect to other AS' s.

#### Internet inter-AS routing: BGP

- BGP (Border Gateway Protocol): the de facto inter-domain routing protocol
  - "glue that holds the Internet together"
- BGP provides each AS a means to:
  - eBGP: obtain subnet reachability information from neighboring ASs.
  - iBGP: propagate reachability information to all ASinternal routers.
  - determine "good" routes to other networks based on reachability information and policy.

## **BGP** basics

- BGP session: two BGP routers ("peers") exchange BGP messages:
  - advertising paths to different destination network prefixes ("path vector" protocol)
  - exchanged over TCP connections
- when AS3 advertises a prefix to ASI:
  - AS3 promises it will forward datagrams towards that prefix
  - AS3 can aggregate prefixes in its advertisement



#### BGP basics: distributing path information

- using eBGP session between 3a and 1c, AS3 sends prefix reachability info to AS1.
- Ic can then use iBGP to distribute new prefix info to all routers in ASI
- Ib can then re-advertise new reachability info to AS2 over Ib-to-2a eBGP session
- when router learns of new prefix, it creates entry for prefix in its forwarding table.



## Path attributes and BGP routes

- advertised prefix includes BGP attributes
  - prefix + attributes = "route"
- \* two important attributes:
  - AS-PATH: contains ASs through which prefix advertisement has passed: e.g., AS 67, AS 17
  - NEXT-HOP: indicates specific internal-AS router to nexthop AS. (may be multiple links from current AS to nexthop-AS)
- gateway router receiving route advertisement uses policy to accept/decline
  - e.g., never route through AS x
  - policy-based routing

## **BGP** route selection

- router may learn about more than I route to destination AS, selects route based on:
  - I. local preference value attribute: policy decision
  - 2. shortest AS-PATH
  - 3. closest NEXT-HOP router: hot potato routing
  - 4. additional criteria



- BGP messages exchanged between peers over TCP connection
- BGP messages:
  - OPEN: opens TCP connection to peer and authenticates sender
  - UPDATE: advertises new path (or withdraws old)
  - KEEPALIVE: keeps connection alive in absence of UPDATES; also ACKs OPEN request
  - NOTIFICATION: reports errors in previous msg; also used to close connection

Putting it Altogether: How Does an Entry Get Into a Router's Forwarding Table?

Answer is complicated!

Ties together hierarchical routing with BGP and OSPF.

### How does entry get in forwarding table?

#### High-level overview

- I. Router becomes aware of prefix
- 2. Router determines output port for prefix
- 3. Router enters prefix-port in forwarding table

### Router becomes aware of prefix



- BGP message contains "routes"
- "route" is a prefix and attributes: AS-PATH, NEXT-HOP,...
- Example: route:
  - ✤ Prefix:138.16.64/22; AS-PATH: AS1 AS2; NEXT-HOP: 201.44.13.125

### Router may receive multiple routes



Router may receive multiple routes for <u>same</u> prefix
Has to select one route

## Select best BGP route to prefix

Router selects route based on shortest AS-PATH



### Find best intra-route to BGP route

- Use selected route's NEXT-HOP attribute
  - Route's NEXT-HOP attribute is the IP address of the router interface that begins the AS PATH.
- Example:
  - \* AS-PATH: AS2 AS17; NEXT-HOP: 111.99.86.55
- Router uses OSPF to find shortest path from 1c to 111.99.86.55



## Hot Potato Routing

- Suppose there two or more best inter-routes.
- Then choose route with closest NEXT-HOP
  - Use OSPF to determine which gateway is closest
  - Q: From Ic, chose AS3 AS131 or AS2 AS17?
  - A: route AS3 AS201 since it is closer



### How does entry get in forwarding table?

#### Summary

- I. Router becomes aware of prefix
  - via BGP route advertisements from other routers
- 2. Determine router output port for prefix
  - Use BGP route selection to find best inter-AS route (path vector algorithm, policy defined)
  - Use OSPF to find best intra-AS route leading to best inter-AS route
  - Router identifies router port for that best route
- 3. Enter prefix-port entry in forwarding table

#### Why different Intra-, Inter-AS routing ?

#### policy:

- inter-AS: admin wants control over how its traffic routed, who routes through its net.
- Intra-AS: single admin, so no policy decisions needed scale:
- hierarchical routing saves table size, reduced update traffic

#### performance:

- intra-AS: can focus on performance
- inter-AS: policy may dominate over performance

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#### Broadcast and multicast routing

## **Broadcast routing**

deliver packets from source to all other nodes
source duplication is inefficient:



## In-network duplication

- flooding: when node receives broadcast packet, sends copy to all neighbors
  - problems: cycles & broadcast storm
- controlled flooding: node only broadcasts pkt if it hasn't broadcast same packet before
  - node keeps track of packet ids already broadacsted
  - or reverse path forwarding (RPF): only forward packet if it arrived on shortest path between node and source
- spanning tree:
  - no redundant packets received by any node

## Spanning tree

- first construct a spanning tree
- nodes then forward/make copies only along spanning tree



(a) broadcast initiated at A



## Spanning tree: creation

- center node
- each node sends unicast join message to center node
  - message forwarded until it arrives at a node already belonging to spanning tree



(a) stepwise construction of spanning tree (center: E)



(b) constructed spanning tree
# Multicast routing: problem statement

goal: find a tree (or trees) connecting routers having local mcast group members \_\_\_\_\_\_\_ legend -

> group member

router with a

group member

router without

group

member

not group member

- shared-tree: same tree used by all group members
- source-based: different tree from each sender to rcvrs



# Approaches for building mcast trees

approaches:

- source-based tree: one tree per source
  - shortest path trees (Dijkstra's algorithm)
  - reverse path forwarding
- shared tree: group uses one tree
  - minimal spanning (Steiner)
  - center-based trees

...we first look at basic approaches, then specific protocols adopting these approaches

# Reverse path forwarding

- rely on router's knowledge of unicast shortest path from it to sender
- each router has simple forwarding behavior:

*if* (mcast datagram received on incoming link on shortest path back to center)
*then* flood datagram onto all outgoing links
*else* ignore datagram

## Reverse path forwarding: example



LEGEND



router with attached group member



- router with no attached group member
- datagram will be forwarded

datagram will not be forwarded

- result is a source-specific reverse SPT
  - may be a bad choice with asymmetric links

# Reverse path forwarding: pruning

- forwarding tree contains subtrees with no mcast group members
  - no need to forward datagrams down subtree
  - "prune" msgs sent upstream by router with no downstream group members



```
LEGEND
```

- X
- router with attached group member
- router with no attached group member
- prune message
- links with multicast forwarding

#### Shared-tree: steiner tree

- steiner tree: minimum cost tree connecting all routers with attached group members
- problem is NP-complete
- excellent heuristics exists
- not used in practice:
  - computational complexity
  - information about entire network needed
  - monolithic: rerun whenever a router needs to join/leave

# Center-based trees

- single delivery tree shared by all
- one router identified as "center" of tree
- to join:
  - edge router sends unicast join-msg addressed to center router
  - join-msg "processed" by intermediate routers and forwarded towards center
  - join-msg either hits existing tree branch for this center, or arrives at center
  - path taken by join-msg becomes new branch of tree for this router

# Center-based trees: example

#### suppose R6 chosen as center:



#### LEGEND

- router with attached group member
- X
- router with no attached group member
- path order in which join messages generated

### Network Layer: A Summary